



**STRUCTURED
INVESTING**
In An Unstructured World

**Investor Profile
Questionnaire**



**GLOBAL FINANCIAL
ADVISORY SERVICES, INC.**

Investor Profile Questionnaire

The following information is necessary to conduct portfolio analysis, formulate recommendations, and generate an Investment Policy Statement. All information will be kept confidential.

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Personal Information

Today's Date: _____

Client Name: _____

Gender: Male Female

Social Security #: _____

Date of Birth: _____

Married Single Divorced Widowed

Email Address: _____

Employment Status/Occupation:

Employed Business Owner

Unemployed Retired

Annual Income: _____

Tax Rate: _____

Net Worth: _____

Primary Address for Account: _____

Co-Client Name: _____

Male Female

Social Security #: _____

Date of Birth: _____

Married Single Divorced Widowed

Employed Business Owner

Unemployed Retired

Annual Income: _____

Tax Rate (if different) _____

Net Worth (if different) _____

Secondary Address for Account _____

Dependent Information (attach separate sheet if necessary)

<i>First</i>	<i>Middle</i>	<i>Last Name</i>	<i>Relationship</i>	<i>Gender</i>	<i>Date of Birth</i>
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

Current Investments

Select one option below and follow appropriate instructions to complete questionnaire.

OPTION 1: Lump Sum Investment (U.S.\$): _____

Choose this option if:

- The assets to be invested are currently in cash, OR
- You do not wish to analyze the asset class distribution of current portfolio holdings.

A model portfolio will be suggested for a lump sum portfolio based on the answers to the Portfolio Strategy Profile.

Please proceed to the Portfolio Strategy Profile on Page 5.

OPTION 2: Comparison to Current Portfolio

Choose this option to gather more detailed information on actual holdings in order to:

- Compare current asset class allocations to model portfolio allocations, and
- Enter current and future deposits into accounts.

You can also conduct a Monte Carlo analysis based on actual holdings and cash flows.

NOTE: Current holdings information can be entered directly into the Investment Planning Center.

If you select this option you will need to enter current holdings information either by Asset Class Level (Page 4), for complex accounts with extensive holdings, or by Detailed Investment Level (Page 5), for which you will need current custodial statements.

Account Type

Account Name: _____

Account Type:

Employee Sponsored Plans

- | | | |
|------------------------------------------------------|-----------------------------------------|------------------------------------------------|
| <input type="checkbox"/> 401K | <input type="checkbox"/> 403B | <input type="checkbox"/> 457 Retirement Plan |
| <input type="checkbox"/> Money Purchase Pension Plan | <input type="checkbox"/> Profit Sharing | <input type="checkbox"/> SARSEP-IRA |
| <input type="checkbox"/> SEP-IRA | <input type="checkbox"/> Simple-IRA | <input type="checkbox"/> Other Qualified Plans |
| <input type="checkbox"/> Employer Qualified Plan | | |

IRAs

- | | |
|------------------------------------------|-----------------------------------|
| <input type="checkbox"/> Traditional IRA | <input type="checkbox"/> Roth IRA |
|------------------------------------------|-----------------------------------|

College Savings Plans

- | | |
|-------------------------------------------|-------------------------------------------|
| <input type="checkbox"/> Coverdell (CESA) | <input type="checkbox"/> 529 Savings Plan |
|-------------------------------------------|-------------------------------------------|

Tax-Deferred Investment Products

- | | | |
|----------------------------------------|-------------------------------------------|------------------------------------------|
| <input type="checkbox"/> Fixed Annuity | <input type="checkbox"/> Variable Annuity | <input type="checkbox"/> Indexed Annuity |
| <input type="checkbox"/> Value Life | <input type="checkbox"/> US Savings Bond | |

Other Investment Assets

- | | |
|----------------------------------|-----------------------------------|
| <input type="checkbox"/> Taxable | <input type="checkbox"/> Tax-Free |
|----------------------------------|-----------------------------------|

Account Value (use most recent account statement): _____

Asset Class Level

If you are unable to determine the general asset class breakdown of the account(s), enter all securities into the Detailed Investment Level, and our software will help determine asset classes.

Enter the percentage of this account that is invested in each asset class.

See Appendix if additional forms are needed.

Asset Class	%
Cash & Equivalents	
Fixed Income	
US Large Neutral	
US Large Value	
US Market	
US Small Neutral	
US Small Value	
International Large Neutral	
International Large Value	
International Small Neutral	
Emerging Markets	
REITs	
US Large Growth	
US Small Growth	
Global Stock	
International Large Growth	
Other Assets	
Unclassified	

Annual Savings

Expected annual additions into this account: \$ _____

Start year or current: _____

End year: _____

Goals

What is (are) your goal(s) for this portfolio?

- Retirement
- Major Purchase/Expense
- Education Funding
- Gift/Donations
- Other: _____

This section will help assess investment objectives, liquidity preferences, time horizon, risk tolerance, and investment attitudes to determine an appropriate portfolio allocation.

The suggested model portfolio in Investment Planning Center can be fully customized based on other planning factors not assessed in this profile. A custom allocation can be written in the Investment Policy Statement information section on page 10 for later entry into Investment Planning Center.

Risk Tolerance

1. Time Horizon

An important consideration is your investment time horizon, or the length of time these funds will remain invested. For how long do you plan to invest before you begin making substantial withdrawals from this portfolio?

- Less than 1 year
- 1-5 year
- 5-10 years
- 10-15 years
- 15-20 years
- 20 years or more

2. Income Requirements

Your need for current income from your portfolio is an important factor in determining asset allocation.

What are your current income requirements from this portfolio per year?

- 0%
- 0-2%
- 2-4%
- 4-5%
- 5-6%
- 6% plus*

*Historical data indicates that distribution rates in excess of 6% per year are typically difficult to sustain over time. Source: Center for Research of Security Prices, January 2010.

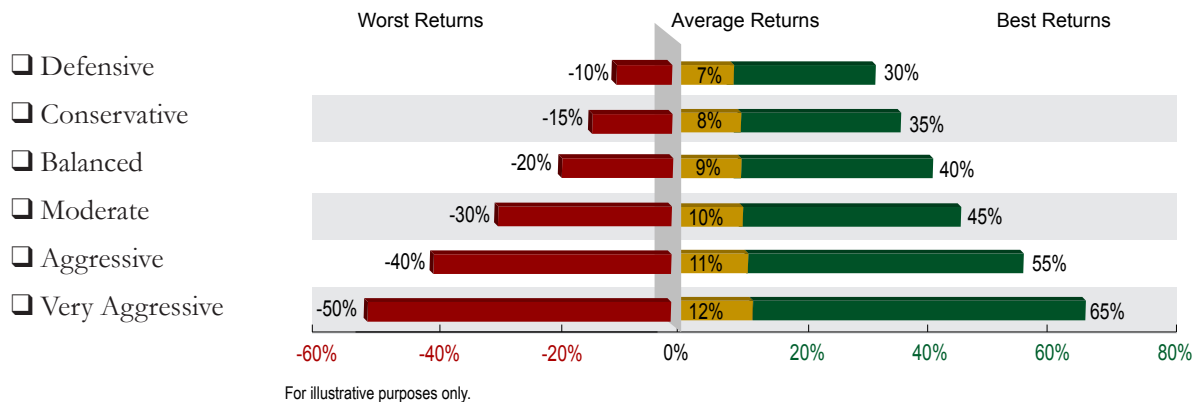
3. Liquidity Requirements

Beyond stated income requirements, will you require a significant withdrawal of principal from this portfolio in the next five years? If yes, please indicate the estimated amount of the withdrawal as a percentage of your portfolio:

- No
- 1-20%
- 20-40%
- 40-60%
- 60-80%
- 80-100%

4. Risk Tolerance

Below is a series of hypothetical portfolios. The best potential gains, worst potential losses and average returns are presented. Note that the portfolio with the best potential gain and average return also had the largest potential loss. Which portfolio would you be most comfortable owning?

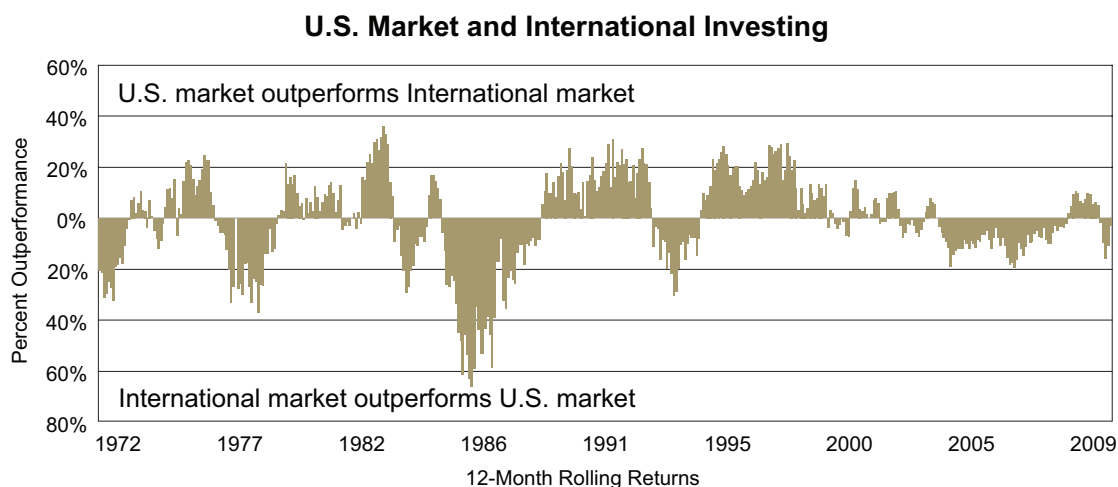


Past performance is not indicative of future performance. All investments involve risk, including loss of principal.

5. International Investing

International investing can help increase your portfolio's diversification as it enables you to spread risk across several economies and financial markets. International investments include developed markets, such as the United Kingdom, with well-established companies and listing standards similar to the U.S. International investing also includes more speculative emerging markets in countries with rapid but volatile economic growth.

The performance of U.S. and international markets can vary significantly. The graph below shows periods when U.S. stocks have outperformed international stocks and periods when international stocks have outperformed U.S. stocks. Historically, investing a portion of a portfolio in international stocks and bonds has demonstrated the potential to increase returns while reducing volatility. However, investing in international markets also has particular risks, including foreign currency fluctuations, political changes and market factors.



Data Source: CRPS and Morgan Stanley Capital International (MSCI), January 2010. Note: Performance results do not represent actual trading, but were achieved using backtesting with the benefit of hindsight; actual results may vary. Hypothetical portfolios may not reflect the impact material economic and market factors might have had on an advisor's decision-making if an advisor were actually managing client's money at that time. Assumes dividend and capital gain reinvestment. Returns are before fees. All investments involve risk, including loss of principal. Foreign and small company securities involve additional risks. Past performance is not indicative of future results. Asset allocation models may not be suitable for all investors.

Which statement best reflects your view on international investing?

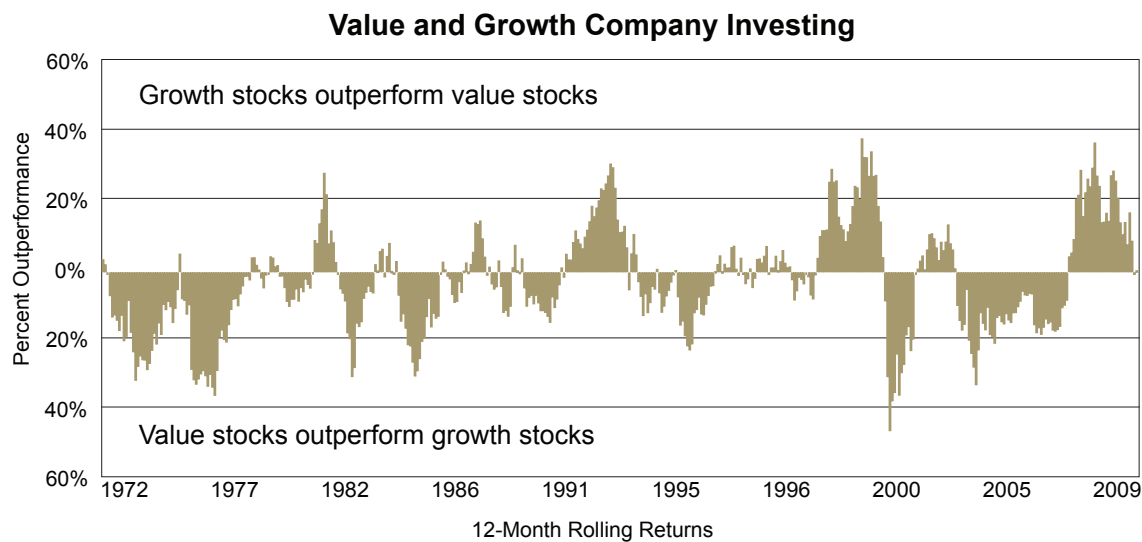
- I am extremely comfortable with international investments.
- I am very comfortable with international investments.
- I am comfortable with international investments.
- I am somewhat comfortable with international investments.
- I am not very comfortable with international investments.

Past performance is not indicative of future performance. All investments involve risk, including loss of principal.

6. Value Investing

The style of stock market investing can affect your long-term rate of return. Investing in companies experiencing rapid growth in revenues and profits is called “growth” investing. Investing in companies experiencing slow growth, difficult business conditions, and/or declining revenues and profits is called “value” investing.

Historical data suggests the expected returns of value stocks are higher than those of growth stocks in both U.S. and international markets because there are higher risks associated with investing in value stocks. While the stocks of value companies may be likely to outperform over the long term, such investments are also likely to under perform the market for certain periods of time. The chart below shows the years when value company investments outperformed growth company investments and when growth outperformed value.



Data source: Center for Research in Security Prices (CRSP), January 2010. Notes: Bonds are represented by baskets of Treasury Instruments with varying maturities in which investors cannot directly invest. All investments involve risk including loss of principal. Foreign and small company securities involve additional risks. Past performance is not indicative of future results.

How comfortable are you with including value company investments in your portfolio?

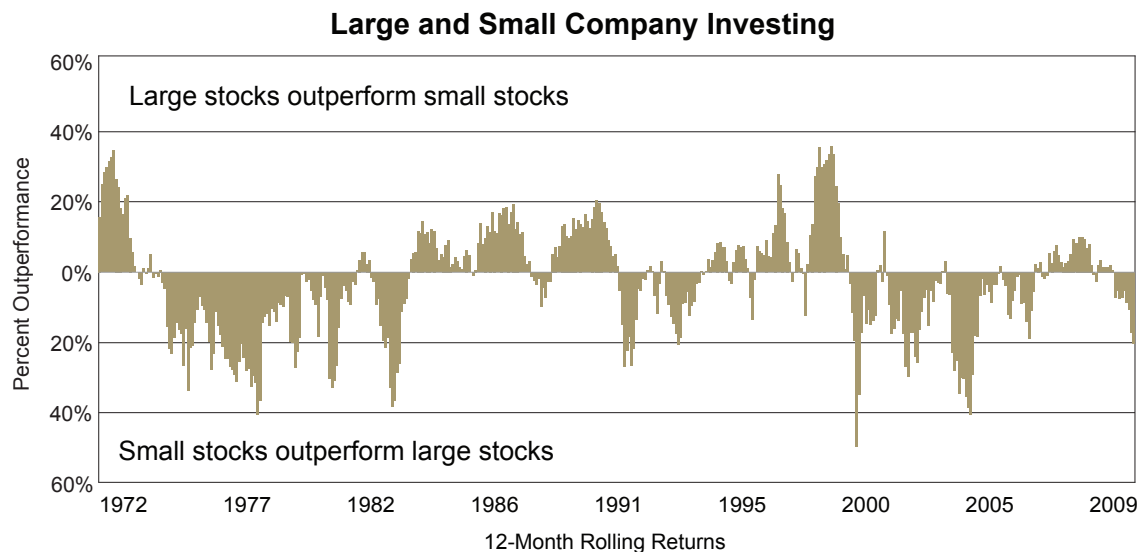
- I am very comfortable with value company investments.
- I am comfortable with value company investments.
- I am somewhat comfortable with value company investments.

Past performance is not indicative of future performance. All investments involve risk, including loss of principal.

7. Small Company Investing

Investing in the stocks of smaller, lesser-known companies can also affect long-term returns. Generally, “small” company stocks have a market value that falls within the smallest 10% of the market universe. “Large” company stocks are typically represented by the Standard & Poor’s 500 Index (S&P 500) and include well-established companies with relatively high stock market value.

Historical data suggests the expected returns of small company stocks are higher than those of large company stocks in both U.S. and international markets. However, there are higher risks associated with less-established companies, and such investments may under perform the market for certain periods of time. Historical data indicates that a combination of large and small company investments tends to increase returns while reducing risk due to the different movements among the two asset classes. The chart below shows the years when small company stocks outperformed large company stocks and when large company stocks outperformed small company stocks.



Data Source: CRPS, January 2010. Note: Performance results do not represent actual trading, but were achieved using backtesting with the benefit of hindsight; actual results may vary. Hypothetical portfolios may not reflect the impact material economic and market factors might have had on an advisor’s decision-making if an advisor were actually managing client’s money at that time. Assumes dividend and capital gain reinvestment. Returns are before fees. All investments involve risk, including loss of principal. Foreign and small company securities involve additional risks. Past performance is not indicative of future results. Asset allocation models may not be suitable for all investors.

How comfortable are you with including small company investments in your portfolio?

- I am very comfortable with small company investments.
- I am comfortable with small company investments.
- I am somewhat comfortable with small company investments.

Past performance is not indicative of future performance. All investments involve risk, including loss of principal.

Investment Policy Statement Information

1. Investment Program

- Structured Investing* Portfolio Services
- Structured Investing* Advantage
- Structured Investing* Asset Management

2. Custom Allocation (Optional)

Enter the custom allocation for this portfolio below, then enter it into the Investment Planning Center using the Add Custom Portfolio feature.

Asset Class	%
Cash & Equivalents	
Fixed Income	
US Large Neutral	
US Large Value	
US Market	
US Small Neutral	
US Small Value	
International Large Neutral	
International Large Value	
International Small Neutral	
Emerging Markets	
REITs	
US Large Growth	
US Small Growth	
Global Stock	
International Large Growth	
Other Assets	
Unclassified	

Appendix

Asset Class Level (Extra Form)

If you are unable to determine the general asset class breakdown of the account(s), enter all securities into the Detailed Investment Level, and our software will help determine asset classes.

Enter by type of account:

Account Name: _____

Account Type: _____

Account Value (use most recent account statement): _____

Enter the percentage of this account that is invested in each asset class. (Please see Appendix for additional forms.)

Asset Class	%
Cash & Equivalents	
Fixed Income	
US Large Neutral	
US Large Value	
US Market	
US Small Neutral	
US Small Value	
International Large Neutral	
International Large Value	
International Small Neutral	
Emerging Markets	
REITs	
US Large Growth	
US Small Growth	
Global Stock	
International Large Growth	
Other Assets	
Unclassified	

Annual Savings

Expected annual additions into this account: \$ _____

Start year or current: _____

End year: _____

Asset Class	Name	Ticker
Fixed Income	SA Fixed Income Fund	SAXIX
Fixed Income	SA U.S. Fixed Income Fund	SAUFX
Fixed Income	Short-Term Extended Quality Portfolio	DFEQX
Fixed Income	Calif. Short-Term Muni Bond Portfolio	DFCMX
Fixed Income	DFA Five-Year Global Fixed Income Portfolio	DFGBX
Fixed Income	DFA Five-Year Government Portfolio	DFFGX
Fixed Income	DFA Intermediate Government Fixed Income Portfolio	DFIGX
Fixed Income	DFA One-Year Fixed Income Portfolio	DFIHX
Fixed Income	DFA Short-Term Municipal Bond Portfolio	DFSMX
Fixed Income	DFA Two-Year Global Fixed Income Portfolio	DFGFX
Fixed Income	Inflation-Protected Securities Portfolio	DIPSX
Fixed Income	LWAS/DFA Two-Year Fixed Income Portfolio	DFCFX
Fixed Income	LWAS/DFA Two-Year Government Portfolio	DFYGX
Fixed Income	Selectively Hedged Global Fixed Portfolio	DFSHX
REIT	SA Real Estate Securities Fund	SAREX
REIT	DFA Real Estate Securities Portfolio	DFREX
US Large Neutral	U.S. Large Company Portfolio	DFLCX
US Large Value	SA US HBtM Fund	SABTX
US Large Value	LWAS/DFA U.S. High Book To Market Portfolio	DFBMX
US Large Value	U.S. Large Cap Value Portfolio	DFLVX
US Large Value	Tax-Managed U.S. Marketwide Value Portfolio	DTMMX
US Market	SA US Market Fund	SAMKX
US Market	Tax-Managed U.S. Equity Portfolio	DTMEX
US Small Neutral	SA US Small Fund	SAUMX
US Small Neutral	U.S. Micro Cap Portfolio	DFSCX
US Small Neutral	U.S. Small Cap Portfolio	DFSTX
US Small Neutral	Tax-Managed U.S. Small Cap Portfolio	DFTSX
US Small Value	U.S. Small Cap Value Portfolio	DFSVX
US Small Value	Tax-Managed U.S. Small Cap Value Portfolio	DTMVX
US Core Strategy	Enhanced US Large Co. Portfolio (I)	DFELX
US Core Strategy	US Core Equity 1 Portfolio (I)	DFEOX
US Core Strategy	US Targeted Value Portfolio (I)	DFVFX
US Core Strategy	US Core Equity 2 Portfolio (I)	DFQTX
US Core Strategy	US Sustainability Core 1 Portfolio	DFSIX
US Core Strategy	TA US Core Equity 2 Portfolio (I)	DFTCX
US Core Strategy	US Social Core Equity 2 Portfolio	DFUEX
US Core Strategy	US Vector Equity Portfolio (I)	DFVEX
Int'l Large Value	SA Int'l HBtM Fund	SAHMX
Int'l Large Value	Large Cap International Portfolio	DFALX
Int'l Large Value	LWAS/DFA International High Book To Market Portfolio	DFHBX
Int'l Large Value	DFA International Value Portfolio	DFIVX
Int'l Large Value	Tax-Managed International Value Portfolio	DTMIX
Int'l Small Neutral	SA Int'l Small Co Fund	SAISX
Int'l Small Neutral	Continental Small Company Portfolio	DFCSX
Int'l Small Neutral	International Small Company Portfolio	DFISX
Int'l Small Neutral	Japanese Small Company Portfolio	DFJSX
Int'l Small Neutral	Pacific Rim Small Co. Portfolio	DFRSX
Int'l Small Neutral	United Kingdom Small Company Portfolio	DFUKX
Int'l Small Value	DFA International Small Cap Value Portfolio	DISVX
Int'l Core Strategies	Intl. Core Equity Portfolio (I)	DFIEX
Int'l Core Strategies	Intl. Real Estate Securities Portfolio (I)	DFITX
Int'l Core Strategies	Intl. Sustainability Core 1 Portfolio	DFSPX
Int'l Core Strategies	TA World ex US Core Equity Portfolio	DFTWX
Int'l Core Strategies	Intl. Vector Equity Portfolio	DFVQX
Emerging Markets	SA Emerging Markets Value Fund	SAEMX
Emerging Markets	Emerging Markets Small Cap Portfolio	DEMSX
Emerging Markets	Emerging Markets Portfolio	DFEMX
Emerging Markets	Emerging Markets Value Portfolio	DFEVX
Emerging Markets Core	Emerging Markets Core Portfolio (I)	DFCEX
Emerging Markets Core	Emerging Markets Social Core Portfolio	DFESX
Global Strategies	Global Equity Portfolio (I)	DGEIX
Global Strategies	Global 60/40 Portfolio (I)	DGSIX
Global Strategies	Global 25/75 Portfolio (I)	DGTSX
Global Strategies	Global Real Estate Securities Portfolio	DFGEX

This information is not intended to be used as the primary basis for investment decisions, nor should it be construed as advice designed to meet the particular needs of an individual investor. Before investing in any fund, please carefully read the prospectus. Past performance is no guarantee of future results. Current performance may be lower or higher than the performance quoted. Investment returns and principal value of mutual funds will vary with market conditions, so that shares, when redeemed, may be worth more or less than their original costs. SA Funds are sponsored by LWI Financial Inc., ("Loring Ward") and distributed by Loring Ward Securities Inc., member FINRA/SIPC. For a copy of the prospectus or most current month-end performance write to: 3055 Olin Ave. Suite 2000, San Jose, CA 95128, or call: 800-366-7266.